

2668

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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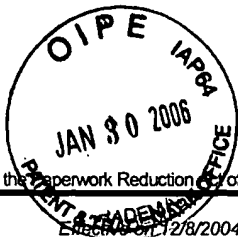
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/990,059
		Filing Date	11/20/2001
		First Named Inventor	Brent, Jason B.
		Examiner Name	Blount, Steven
		Art Unit	2668
Total Number of Pages in This Submission	5	Attorney Docket Number	01CON263P

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farshad Farjani, Esq., Reg. No. 41,014 Farjani & Farjani, LLP.
Signature	
Date	1/26/06

CERTIFICATE OF MAIL			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Type or printed name	Lesley L. Ning		
Signature		Date	Jan. 26, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant Claims small entity status. See 37 CFR 1.27

### Complete if Known

Application Number	09/990,059
Filing Date	11/20/2001
First Named Inventor	Brent, Jason B.
Examiner Name	Blount, Steven
Art Unit	2668
Attorney Docket No.	01CON263P

TOTAL AMOUNT OF PAYMENT (\$180.00)

### METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☒ Deposit Account Deposit Account Number: 50-1867 Deposit Account Name: Mindspeed Technologies, Inc.
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charges fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180
Total Claims		
Extra Claims		
Fee (\$)		
Fee Paid (\$)		
Multiple Dependent Claims		
Fee (\$)		
Fee Paid (\$)		

HP = highest number of total claims paid for, if greater than 20

Indep. Claims - 3 or HP = Extra Claims Fee (\$)

HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Submission of an Information Disclosure Statement (Fee Code 1806)

\$180.00

#### SUBMITTED BY

Signature	Registration No. (Attorney/Agent) 41,014	Telephone (949) 282-1000
Name (Print/Type)	Farshad Farjani, Esq.	Date 1/26/06

This collection of information is required by 37 CFR 1.136. The information is required to obtain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Brent, et al.**

Serial No.: **09/990,059**

Filed: **November 20, 2001**

For: **Communication Model for Linecard Modems**

Art Unit: 2668

Examiner: Blount, Steven

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

In this Information Disclosure Statement and pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicants hereby disclose to the Patent Office patents, publications or other information of which Applicants are aware. A list of U.S. patent numbers is included in Form 1449 submitted herewith.

The items identified in this Information Disclosure Statement may or may not be “material” as defined in 37 C.F.R. § 1.56, and the submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as “prior art” under 35 U.S.C. § 102 with respect to the present invention unless specifically designated by Applicants as such. Identification of any reference or patent herein is not an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

In accordance with 37 C.F.R. § 1.97(c)(2) and §1.17, a Fee Transmittal Form (SB/17) is enclosed to provide authorization in charging \$180.00 to Deposit Account Number 50-1867 for filing this Information Disclosure Statement, since it is being filed before the mailing date of either a final action or a notice of allowance.

The Commissioner is hereby authorized to charge or credit Deposit Account Number 50-1867 for any deficiency or overpayment in the fees required for the filing of this Information Disclosure Statement.

Respectfully submitted,  
Farjami & Farjami LLP

Dated: 1/26/06

By: 

Farshad Farjami

Reg. No. 41,014

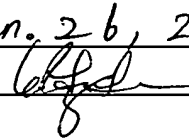
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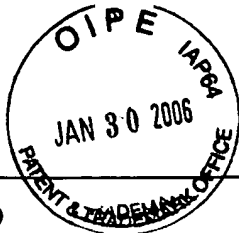
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Date of Deposit: Jan. 26, 2006

Signature: 

Lesley L. Ning

Typed or Printed Name of Person Mailing Paper and/or Fee



<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 01CON263P	<b>SERIAL NO.</b> 09/990,059
	<b>APPLICANTS:</b> Brent, et al.	
	<b>FILING DATE:</b> November 20, 2001	<b>GROUP ART:</b> 2661

U.S. PATENT DOCUMENTS							
Exam. Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	1	6,498,806	12/2002	Davis, Gordon Taylor			
	2	6,954,463	10/2005	Ma, et al.			
	3	6,785,340	8/2004	Stockman, et al.			
	4	6,212,228	4/2001	Vlajnic, Milan			
	5	6,396,911	5/2002	Kostan, et al.			
	6	6,075,776	6/2000	Tanimoto, et al.			

FOREIGN PATENT DOCUMENT								
Exam. Initials		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1							
	2							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	1	
	2	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	
Information Disclosure Statement -- Section 9 PTO-1449	
Page <u>1</u> of <u>1</u>	